

# Journal Pre-proof

High time resolution observation of PM<sub>2.5</sub> Brown carbon over Xi'an in northwestern China: Seasonal variation and source apportionment

Yali Lei, Zhenxing Shen, Tian Zhang, Di Lu, Yaling Zeng, Qian Zhang, Hongmei Xu, Naifang Bei, Xin Wang, Junji Cao

PII: S0045-6535(19)31754-0

DOI: <https://doi.org/10.1016/j.chemosphere.2019.124530>

Reference: CHEM 124530

To appear in: *ECSN*

Received Date: 10 June 2019

Revised Date: 2 August 2019

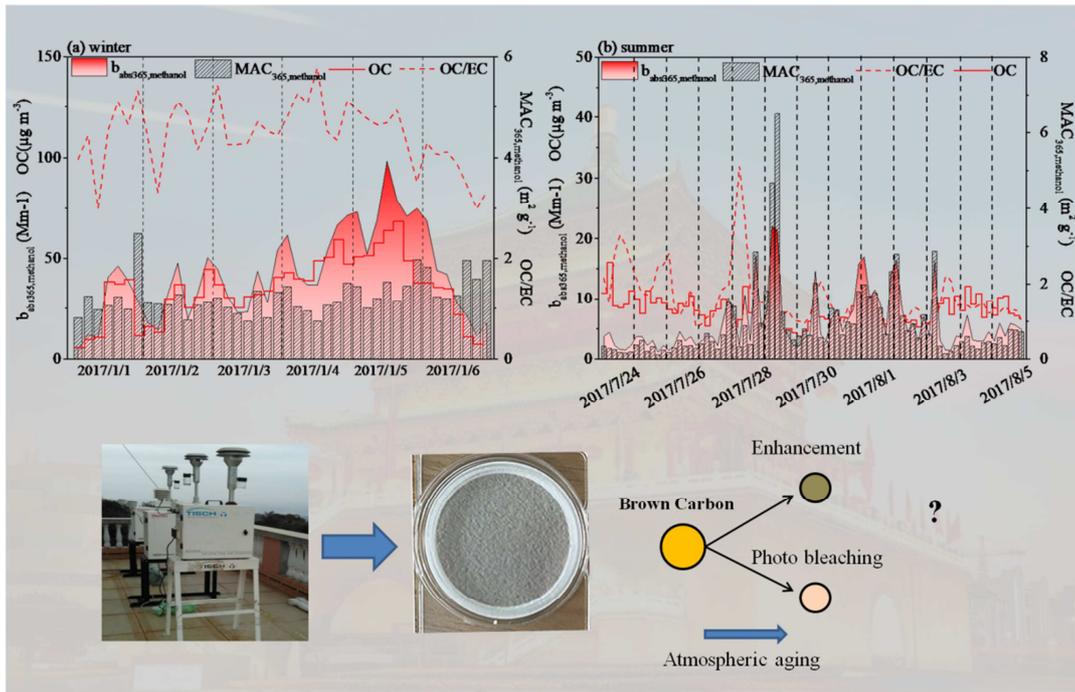
Accepted Date: 5 August 2019

Please cite this article as: Lei, Y., Shen, Z., Zhang, T., Lu, D., Zeng, Y., Zhang, Q., Xu, H., Bei, N., Wang, X., Cao, J., High time resolution observation of PM<sub>2.5</sub> Brown carbon over Xi'an in northwestern China: Seasonal variation and source apportionment, *Chemosphere* (2019), doi: <https://doi.org/10.1016/j.chemosphere.2019.124530>.

This is a PDF file of an article that has undergone enhancements after acceptance, such as the addition of a cover page and metadata, and formatting for readability, but it is not yet the definitive version of record. This version will undergo additional copyediting, typesetting and review before it is published in its final form, but we are providing this version to give early visibility of the article. Please note that, during the production process, errors may be discovered which could affect the content, and all legal disclaimers that apply to the journal pertain.

© 2019 Published by Elsevier Ltd.





Daily variations of  $b_{\text{abs}365,\text{methanol}}$ ,  $\text{MAC}_{365,\text{methanol}}$ , OC, OC/EC during winter and summer.

1 High Time Resolution Observation of PM<sub>2.5</sub> Brown Carbon over  
2 Xi'an in Northwestern China: Seasonal Variation and Source  
3 Apportionment  
4

5 Yali Lei<sup>1,2</sup>, Zhenxing Shen<sup>1,2\*</sup>, Tian Zhang<sup>1</sup>, Di Lu<sup>1</sup>, Yaling Zeng<sup>1</sup>, Qian Zhang<sup>1</sup>,  
6 Hongmei Xu<sup>1</sup>, Naifang Bei<sup>3</sup>, Xin Wang<sup>4</sup>, Junji Cao<sup>2</sup>  
7

8 <sup>1</sup> *Department of Environmental Science and Engineering, Xi'an Jiaotong University,*  
9 *Xi'an 710049, China*

10 <sup>2</sup> *The State Key Laboratory of Loess and Quaternary Geology, Institute of Earth*  
11 *Environment, Chinese Academy of Sciences, Xi'an, 710049, China*

12 <sup>3</sup> *School of Human Settlements and Civil Engineering, Xi'an Jiaotong University,*  
13 *Xi'an, 710049, China*

14 <sup>4</sup> *Multiphase Chemistry Department, Max Planck Institute for Chemistry, Mainz,*  
15 *55128, Germany*  
16

17  
18 *\*Author to whom correspondence should be addressed. E-mail:*  
19 *zxshen@mail.xjtu.edu.cn (Zhenxing Shen).*  
20  
21

## 22 Abstract

23 There is growing evidence suggesting the enhancement of brown carbon (BrC) in  
24 severe haze episodes. In this study, hourly measurements of BrC in  $PM_{2.5}$  were  
25 conducted in Xi'an, a typical city in northwestern China during winter and summer.  
26 The absorption coefficient for methanol extracts at 365 nm ( $b_{abs365, methanol}$ , which is  
27 typically used as a proxy of methanol-soluble BrC) in the winter sampling period was  
28 over 7 times than that in summer. The mass absorption cross-section for methanol  
29 extracts ( $MAC_{365, methanol}$ , normalized by  $b_{abs365, methanol}$  to organic carbon, OC) in  
30 winter sampling period was nearly 1.5 times of that in the summer. During the winter  
31 haze days, the average  $b_{abs365, methanol}$  peaked at midnight and the lowest values in the  
32 morning, in contrast to high levels in afternoon and low levels at night in non haze  
33 days. Unlike the diurnal patterns in winter, summer  $b_{abs365, methanol}$  diurnal variation  
34 presented high midday and low afternoon levels in haze days. However, in non haze  
35 days, the pattern showed high morning levels and night low levels. Haze and non haze  
36 variations of chemical species levels,  $b_{abs365, methanol}$ , and  $MAC_{365, methanol}$  during winter  
37 and summer sampling time showed that the effects of atmospheric aging were  
38 complex and could either enhance or reduce light absorption of BrC. Source  
39 apportionment based on positive matrix factorization receptor model and multiple  
40 linear regressions showed that primary emission was an important contributor to BrC  
41 emissions during the winter sampling period, whereas secondary formation played an  
42 important role in summer.

43

44

45 **Keywords:** Brown carbon; High-time resolved; Source apportionment; Xi'an

46

47

48

49

## 50 1. Introduction

51 Brown carbon (BrC) is currently receiving remarkable attention because it is more  
52 abundant than black carbon (BC) and is a vital contributor to light absorption and  
53 climate forcing (*Lambe et al., 2013; Zhao et al., 2015*). Overall, emissions of primary  
54 BrC have been attributed to forest fires and biomass burning, residential heating, and  
55 biogenic release of humic matter (*Kirchstetter et al., 2004; Hoffer et al., 2006*). BrC  
56 can also be secondarily produced by photooxidation of anthropogenic and biogenic  
57 precursors (*Huang et al., 2017*). Such precursors like isoprene, toluene, and  
58 polycyclic aromatic naphthalene could yield BrC through heterogeneous or  
59 multiphase reactions (*Laskin et al., 2015; Liu et al., 2015*). Secondary BrC produced  
60 around urban environments can have an important influence on ultraviolet irradiance  
61 and photochemical cycles of urban pollution. For example, *Liu et al (2015)* illustrated  
62 that BrC influenced the production of O<sub>3</sub> and OH by reducing UV irradiance and  
63 consequently affected the oxidation capacity of the regional atmosphere. *Zhao et al*  
64 *(2015)* concluded that the magnitude of photo-enhancement and bleaching was likely  
65 dependent on the BrC components, as well as OH exposure.

66 Previous studies were launched to investigate BrC light absorption and climate  
67 forcing (*Shen et al., 2017a; 2017b; Lei et al., 2018b; Park et al., 2018*). However,  
68 previous long-term ambient BrC measurements were routinely carried out using 24-h  
69 integrated filter sampling techniques which exhibited relatively low time resolution  
70 and introduced more sample handling artifacts. High time-resolved organic carbon  
71 (OC) data were used to investigate the diurnal variation of carbonaceous aerosols and  
72 their contributions to air pollution in China, such as Beijing (*Lin et al., 2009*),  
73 Shanghai (*Xu et al., 2018*), Guangzhou (*Hu et al., 2012*), and Hong Kong (*Huang et*  
74 *al., 2014*). To our knowledge, some literatures estimated the BC and BrC light  
75 absorption using Aethalometer model (AE31), which may suffer from the interference  
76 from light absorption by BC and mineral dust (*Shen et al., 2017b; Qin et al., 2018;*  
77 *Wang et al., 2018*). However, few studies have utilized highly time-resolved semi-  
78 continuous measurements to study the diurnal variation of BrC light absorption in

79 bulk solution. Although the conversion from optical properties of solution back to  
80 aerosol is not necessarily straightforward, studying light-absorbing organics in bulk  
81 solution provides important insights on the sources and formation processes of SOA  
82 measurements of BrC. More highly time resolved measurements of BrC can yield  
83 insights into the temporal distributions in the light absorption, and offer the potential  
84 for better understanding of their emission sources. Thus, the information about  
85 variable and complicated BrC in fine particles is needed.

86 In this study, high time resolved PM<sub>2.5</sub> samples were collected to investigate the  
87 time-series of BrC light absorption to focus on the diel pattern. In addition, the  
88 formation and source apportionment of BrC was also conducted. The result of this  
89 study will improve our understanding of the dynamic variation of BrC and clarify  
90 their roles in both winter and summer.

## 91 2. Methodology

### 92 2.1 Sample collection

93 The sampling site was located in the southeastern part of downtown of Xi'an,  
94 which is subject to severe heavy air pollution produced by surrounding residential  
95 areas and major traffic roads. Sampling was conducted on the roof of a 15 m-high  
96 building from 1 January 2017 to 6 January 2017 and from 24 July 2017 to 5 August  
97 2017 at Xi'an Jiaotong University (Fig.1). A total of 120 PM<sub>2.5</sub> samples (42 for winter  
98 and 78 for summer) with time resolution of 3 or 4 hours were collected on Whatman  
99 47 quartz filters (Whatman Inc., Maidstone, UK) by using a PQ200 ambient air  
100 particulate sampler (BGI Inc., USA) at a flow rate of 16.7 L·min<sup>-1</sup>. PM<sub>2.5</sub> samples  
101 were equilibrated using controlled temperature (20-23 °C) and relative humidity (35-  
102 45 %) desiccators for 24 h before and after sampling and their mass loadings were  
103 determined gravimetrically using a Sartorius MC5 electronic microbalance (±1 µg  
104 sensitivity; Sartorius, Göttingen, Germany). Details of quality assurance and control  
105 procedures can be found in our previous studies (*Shen et al., 2017; Lei et al., 2018a;*  
106 *2018b*). According to the ambient air quality standards (AAQS) of China (GB3095-  
107 2012:<http://kjs.mep.gov.cn/hjbhbz/bzwb/dqhjbh/qhjzlbz/201203/t20120302>

108 [224165.htm](#)) and the measured data in this study, pollution day was defined as that  
109 the 24 h average concentrations both PM<sub>2.5</sub> were twice times higher than the national  
110 AAQS-Grade II value (PM<sub>2.5</sub>, 75 µg·m<sup>-3</sup>).

111

112 *Insert Figure 1*

113

## 114 2.2 Chemical analysis

115 PM<sub>2.5</sub> OC and elemental carbon (EC) were determined with a DRI Model 2001  
116 Thermal/Optical Carbon Analyzer (Atmoslytic Inc., Calabasas, CA, USA) following  
117 the IMPROVE TOR protocol (*Chow et al., 2004; Cao et al., 2005*). The  
118 IMPROVE\_A (Interagency Monitoring of Protected Visual Environments) protocol  
119 produces four OC fractions (OC1, OC2, OC3, and OC4 at 140□, 180□, 480□, and  
120 580□, respectively in a 100 % He atmosphere); a pyrolyzed carbon fraction (OP,  
121 determined when reflected or transmitted laser light attained its original intensity after  
122 O<sub>2</sub> was added to the analysis atmosphere); and three EC fractions (EC1, EC2, and  
123 EC3 in a 98 % He/2 % O<sub>2</sub> atmosphere at 580□, 740□, 840□, respectively). OC was  
124 defined as OC1+OC2+OC3+OC4+OP and EC as EC1+EC2+EC3-OP (*Chow et al.,*  
125 *2004*). One fourth of each filter was used for analysis of water-soluble inorganic ions  
126 (Na<sup>+</sup>, NH<sub>4</sub><sup>+</sup>, K<sup>+</sup>, Mg<sup>2+</sup>, Ca<sup>2+</sup>, F<sup>-</sup>, Cl<sup>-</sup>, NO<sub>3</sub><sup>-</sup> and SO<sub>4</sub><sup>2-</sup>) using ion chromatography (IC,  
127 Dionex 500, Dionex Corp, USA). Anions were analyzed using an ASII-HC column  
128 (Dionex Company) and 20 mM potassium hydroxide as the eluent. Cations were  
129 determined using a CS12A column (Dionex Company) with 20 mM methane sulfonic  
130 acid as the eluent. One in each group of ten samples was selected for duplicate  
131 measurements and blank filter ion concentrations were subtracted for quality control  
132 purposes. A detailed description of the ion analysis carried out in this study can be  
133 found in *Shen et al (2018a)*.

## 134 2.3 Optical properties of methanol extracts

135 The light absorption spectra of the methanol extracts were measured with a UV-  
136 Vis spectrophotometer (UV-6100s) with 10 cm optical paths in the individual solvent.  
137 A 0.526 cm<sup>2</sup> punch of filters were extracted in 50 mL methanol (HPLC Grade, Fisher

138 Chemical) by 30 min of sonication. All extracts were filtered 1-3 times with a 25 mm  
 139 diameter 0.45  $\mu\text{m}$  pore size microporous membrane (Puradisc 25 TF, PTFE  
 140 membrane) to remove the insoluble components.

141 Absorption spectra of methanol-soluble organic carbon (MSOC) were used to  
 142 assess the  $b_{abs}$  as described by *Srinivas and Sarin (2014)*. The  $b_{abs}$  was calculated  
 143 according to:

$$144 \quad b_{abs\lambda, \text{methanol}} = (A_{\lambda, \text{methanol}} - A_{700, \text{methanol}}) * (V_{ext} * \text{Portions}) * \ln(10) / (V_{aero} * L) \quad (1)$$

145 where  $b_{abs}$  is expressed in the unit of  $\text{Mm}^{-1}$  (or  $10^{-6} \text{ m}^{-1}$ ).  $A_{\lambda}$  and  $A_{700}$  correspond to  
 146 measured absorbance at specified  $\lambda$  and 700 nm, respectively.  $V_{ext}$  refers to volume of  
 147 the solvent extract (50 mL) in which different portions of filter.  $\text{Portions}$  is used to  
 148 estimate the absorption signal for the full filter. For example, if  $\text{Portions}$  is 8 which  
 149 means 1/8th portions of aerosol filter are extracted.  $V_{aero}$  corresponds to sampling  
 150 volume. In this case,  $L$  is the path length of the cell (10 cm). We used light absorbance  
 151 at 365 nm to estimate BrC  $b_{abs}$  (*Liu et al., 2013*).

152 The relationship between wavelength-dependent  $AAE$  and  $b_{abs}$  of BrC in the  
 153 methanol extracts is described as follows (*Hecobian et al., 2010*):

$$154 \quad b_{abs\lambda, \text{methanol}} = K * \lambda^{-AAE \text{ methanol}} \quad (2)$$

155 Here  $K$  refers to a constant value and  $\lambda$  denotes the wavelength of BrC. In this study,  
 156  $AAE$  was calculated by linear regression fit to  $\log b_{abs}$  vs.  $\log \lambda$  in the wavelength  
 157 range of 330-400 nm.

158 The soluble organic mass, unfortunately, cannot be determined in the methanol  
 159 extracts. Therefore, OC measured from OC/EC analysis was used to obtain the lower  
 160 limit of the MAC value at 365 nm, shown in equation (3):

$$161 \quad \text{MAC}_{\lambda, \text{methanol}} = b_{abs\lambda, \text{methanol}} / \text{OC} \quad (3)$$

162

#### 164 2.4 BC absorption measurements

165 The particular  $b_{abs}$  of BC can be obtained from seven wavelengths (i.e., 370, 470,  
 166 520, 590, 660, 880, and 950 nm) using AE31. According to Beer-Lambert's law, the  
 167  $b_{abs}$  is defined as:

$$I = I_0 e^{-b_{abs} \cdot x} \quad (4)$$

168 where  $I_0$  and  $I$  are the light intensities before and after collecting particles with the  
 169 thickness  $x$ , respectively. Similar to other filter-based absorption instruments, the  $b_{abs}$   
 170 of AE31 needs to be corrected for two kinds of errors, including scattering effect by  
 171 the fiber filter substrates (Collaud Coen *et al.*, 2010) and filter mass loading by the  
 172 accumulation of light absorbing particles (Zhang *et al.*, 2015b; Qin *et al.*, 2018). In  
 173 this study, mass loading effect was corrected by following the correction process  
 174 presented by Virkkula *et al.* (2007). A detailed description of AE31 analysis followed  
 175 in this study can be found in Shen *et al.*, 2017b). Olson *et al.* (2015) segregated BC  
 176 and optical-BrC by projecting the absorption at higher wavelengths (880 nm) to lower  
 177 wavelengths of the spectrum measured by the AE31. The absorption at 880 nm is  
 178 assumed to be attributed to only BC absorption.

#### 180 2.5 Source apportionment of $b_{abs365, methanol}$

181 In order to investigate the contributions of each emission source to  $b_{abs365, methanol}$ ,  
 182 positive matrix factorization (PMF) has been first used. PMF is a bilinear factor  
 183 model that has been widely used in previous source apportionment studies (Tao *et al.*,  
 184 2014; Xiao *et al.*, 2014; Wang *et al.*, 2016). The concept of PMF has been described  
 185 in detail by Shen *et al.* (2010). In this study, water-soluble inorganic ions ( $\text{NH}_4^+$ ,  $\text{K}^+$ ,  
 186  $\text{Mg}^{2+}$ ,  $\text{Ca}^{2+}$ ,  $\text{NO}_3^-$ , and  $\text{SO}_4^{2-}$ ), and carbon fractions (OC1, OC2, OC3, OC4, EC1,  
 187 EC2, EC3) were used as data inputs. The model decomposes the concentrations of the  
 188 chemical species related above at a receptor site into sets of contributions ( $G$ ), factor  
 189 profiles ( $F$ ), and residuals ( $E$ ):

$$X_{ij} = \sum_{k=1}^p G_{ik} F_{kj} + E_{ij} \quad (5)$$

190 Where  $X_{ij}$  represents the  $j$ th species concentration measured in the  $i$ th sample, the  
 191 factor time series  $G_{ik}$  is the contribution of the  $k$  source to the  $i$ th sample, the factor  
 192 profile  $F_{kj}$  is the concentration of the  $j$ th species in the  $k$  source, and the model  
 193 residuals are  $E_{ij}$ . The model uses a least-squares approach to iteratively minimize the  
 194 object function  $Q$ , which is defined as the sum of the squared residuals weighted by  
 195 uncertainties  $\sigma_{ij}$ :

$$Q = \sum_{i=1}^m \sum_{j=1}^n \left( \frac{E_{ij}}{\sigma_{ij}} \right)^2 \quad (6)$$

197

198 The model requires both concentration data  $X_{ij}$  and a corresponding uncertainty  $\sigma_{ij}$ .

199 The  $\sigma_{ij}$  was calculated using the equation as follows:

$$200 \quad \text{Uncertainty} = \sqrt{([X] \times CV)^2 + MDL^2} \quad , \text{ for } [X] > MDL \quad (7)$$

$$201 \quad \text{Uncertainty} = \frac{5}{6} \times MDL \quad , \text{ for } [X] \leq MDL \quad (8)$$

202 Where  $[X]$  represents the concentration of the species  $X$  in  $\mu\text{g}\cdot\text{m}^{-3}$ ;  $CV$  is the  
 203 coefficient of variation for species  $X$ , which is calculated by dividing the standard  
 204 deviation of repeated samples by their average value;  $MDL$  is the method detection  
 205 limit.

206 The PMF model was run multiple times, extracting four to six factors, and each  
 207 run was initialized with different starting points (changing the seed value from 1 to  
 208 20).

209 A multivariate linear regression model was constructed, which has been described  
 210 in detail by *Lei et al. (2018b)*.

211 The relationships between  $b_{abs365, \text{methanol}}$  and each emission source can be  
 212 described as:

$$213 \quad b_{abs365, \text{methanol}} = B + \sum_{i,j=1}^n A_i \times [X_{ij}] \quad , \quad (9)$$

214 Where the quantities in brackets  $[X_{ij}]$  represent the contributions of the  $i$ -th emission  
 215 source (units:  $\text{Mm}^{-1}$ ) on the  $j$ -th day;  $A_i$  and  $B$  represent the regression coefficient and  
 216 random error respectively;  $A_i \times [X_{ij}]$  represents the predicted values of  $b_{abs365, \text{methanol}}$   
 217 from the  $i$ -th emission source.

218

### 219 3. Results and Discussion

#### 220 3.1 Overview of winter and summer intensive observation

221 Winter and summer mean values of  $\text{PM}_{2.5}$  chemical species,  $b_{abs365, \text{methanol}}$ ,  $AAE$   
 222  $\text{methanol}$  (330-400 nm), and  $MAC_{365, \text{methanol}}$  during the sampling period were

223 summarized in Table 1. The daily mean concentrations of PM<sub>2.5</sub> in winter sampling  
224 period varied from 109.5 to 286.6  $\mu\text{g m}^{-3}$  with an average of  $179.4 \pm 70.4 \mu\text{g m}^{-3}$ ,  
225 which was twice higher than that in summer sampling period. These measured values  
226 matched the previous reported seasonal variation of OC and EC concentration at Xi'an  
227 (Shen *et al.*, 2017b), with lower value in summer and high in winter. The high average  
228 concentration of secondary organic carbon (SOC) and OC/EC demonstrated the  
229 higher secondary emissions. Winter average  $b_{abs365, methanol}$  is over 7 times than that in  
230 summer sampling period, indicating more abundance of BrC in winter PM<sub>2.5</sub> samples  
231 in comparison with summer. Biomass burning in rural areas around Xi'an for winter  
232 heating should contribute to high BrC, the evidence of which can be found in previous  
233 studies (Sun *et al.*, 2017; Shen *et al.*, 2017a; Lei *et al.*, 2018a). The AAE (330-400 nm)  
234 values were  $6.2 \pm 0.5$  during winter sampling period and  $6.5 \pm 2.6$  during summer  
235 sampling period. In a previous study, it was found that high SOC levels were occurred  
236 both in summer and winter PM<sub>2.5</sub> over Xi'an, even their seasonal formation  
237 mechanisms were difference (Zhang *et al.*, 2015a). Winter  $MAC_{365, methanol}$  for BrC was  
238 nearly 1.5 times higher than that in summer during this sampling period, highlighting  
239 that BrC from biomass burning and fresh SOC caused stronger light absorption than  
240 that from the aged SOC in summer. The average  $b_{abs}$  of BC at 880 nm ( $b_{abs-BC, 880 nm}$ )  
241 was  $101.9 \text{ Mm}^{-1}$  for winter sampling period and  $11.8 \text{ Mm}^{-1}$  for summer sampling  
242 period, also indicating the important contribution from primary emission sources in  
243 winter. It is noted that the mean  $b_{abs-BC, 880 nm}$  was more than twice as high as  $b_{abs365,}$   
244  $methanol$  for both in winter and summer during our sampling period, highlighting that  
245 PM light absorption was predominantly by BC in Xi'an.

246

247

*Insert Table 1*

248

### 249 3.2 Winter brown carbon profiles

250 Fig.2a displays the average diurnal trends of  $b_{abs365, methanol}$ ,  $b_{abs, BC-880 nm}$ ,  $MAC_{365,}$   
251  $methanol$ , OC, as well as SOC between haze and non haze days during the winter  
252 sampling period.  $b_{abs365, methanol}$  diurnal variation presented high midnight time levels

253 and low morning levels in haze days, which is similar to results in another study in  
254 Guangzhou, China (Qin *et al.*, 2018). This pattern results from the variation of the  
255 boundary layer and inversion layers. At night, the level of the boundary layer goes  
256 down with decreased solar activity, and the inversion layer occur (Wang *et al.*, 2007;  
257 Xu *et al.*, 2014; Shen *et al.*, 2011). As shown in Figure S1, in haze days,  $b_{abs365, methanol}$   
258 diurnal variation presented higher midnight time levels, which is mainly due to the  
259 reason that the level of the boundary layer goes down with decreased solar activity  
260 and the inversion layer occurs. Moreover, nighttime aqueous-phase chemistry also  
261 affects this phenomenon (Folkers, *et al.*, 2003; Rajput *et al.*, 2016; Saffai, *et al.*,  
262 2016). However, in non haze days, the pattern showed higher in afternoon levels and  
263 low in night time levels.  $MAC_{365, methanol}$  exhibited no obvious changes and showed  
264 relatively high night time levels in both haze and non haze days. OC showed no  
265 distinctive early morning peaks in both haze days and non haze days. The enhanced  
266 OC concentrations at around midday likely reflected the contribution of SOC formed  
267 via photochemical activities. SOC diel variations showed higher at noon and lower in  
268 the nighttime. A previous study in the Pearl River Delta region suggested that strong  
269 photochemical formation was responsible for the midday SOC peak (Xu *et al.*, 2017).  
270 Similar midday SOC peaks have also been identified in several other urban areas,  
271 such as the Los Angeles Basin during the CalNex-2010 field campaign (Zhang *et al.*,  
272 2013) and Mexico City during the MILAGRO study (Gouw *et al.*, 2009). As a result,  
273 the increase of OC and SOC suggests that photochemical processes were a primary  
274 driver in the formation of BrC. In addition, the oxidant (Ox) discussed here is the sum  
275 of the ozone and nitrogen dioxide mixing ratios ( $O_3+NO_2$ ), which can be considered  
276 as a proxy for atmospheric oxidation level caused by photochemical reactions (Wang  
277 *et al.*, 2017). The mixing ratio of Ox was used to represent the atmospheric oxidation  
278 capacity. Significant variations in the time-resolved of Ox were observed over Xi'an,  
279 with mixing ratios ranging from 32.0 to 84.8 ppb and averaging  $14.5 \pm 55.4$  ppb  
280 during the winter sampling period, respectively. The daily Ox minimum occurred at  
281 morning time followed by a sharp increase to a nighttime peak at midnight in haze  
282 days. However, for non haze days, the Ox peaked at 14:00 and then declined

283 continuously until the midnight. It is likely driven by variations in local anthropogenic  
284 activities, photochemical conditions, and atmospheric boundary layer heights.

285

286 *Insert Figure 2*

287

288 Diurnal patterns of  $b_{abs-BC, 880\text{ nm}}$  in haze and non haze days during winter  
289 sampling period were also exhibited in Fig 2b. In haze days, BC light absorption  
290 showed no specific peaks. Despite the rush hours, some VOCs may uptake to BC  
291 particles and enhance the light absorption (Qin *et al.*, 2018). Similar results have also  
292 been found in other studies (Xu, *et al.*, 2014; Wang *et al.*, 2016b; 2016c). However, in  
293 non haze days, BC light absorption showed only one peak at around 10:00-14:00 LST.  
294 On one hand, the vehicular emissions rates during the morning rush hours were  
295 generally higher than those in the evening rush hours as the morning traffic  
296 congestion was severer than that in the evening rush hours as reported by a road  
297 tunnel study (Zhou *et al.*, 2014) in the same borough. The lowest BC light absorption  
298 at midday should be mainly ascribed to development of boundary layer as the solar  
299 radiation was the strongest at that time.

300 In this study, differences of PM<sub>2.5</sub> chemical species and BrC optical properties  
301 between haze and non haze can provide some insights of on BrC formation and  
302 optical properties. As shown in Table 1, winter OC/EC ratios showed little higher than  
303 non haze, indicating that the SOC formation intensity were similar between haze and  
304 non haze days. In contrast, winter haze OC and SOC levels as well as  $b_{abs365, methanol}$   
305 were much higher than those in non haze days, implied that primary emissions and  
306 secondary formation played an important contribution to winter haze BrC.

307

### 308 3.3 Profiles of brown carbon in summer

309 The average diurnal trends of  $b_{abs365, methanol}$ ,  $b_{abs, BC-880\text{ nm}}$ ,  $MAC_{365, methanol}$ , OC, O<sub>x</sub>,  
310 as well as SOC between haze and non haze days during summer sampling period were  
311 shown in Fig. 2b. Unlike the diurnal patterns during winter, summer  $b_{abs365, methanol}$   
312 diurnal variation presented high midday and low afternoon levels in haze days.

313 However, in non haze days, the pattern showed higher morning levels and lower night  
314 time levels. OC presented distinct diurnal patterns during the daytime.  $MAC_{365, \text{methanol}}$   
315 showed relatively higher in the morning during haze days and at midday during non  
316 haze days. The enhanced OC concentrations at around midday in non haze days likely  
317 reflected the contribution of SOC formed via photochemical activities. The Ox  
318 minimum occurred at morning time followed by a sharp increase to peak at around  
319 20:00 LST in haze days. However, for non haze days, the Ox peaked at 8:00 LST and  
320 then declined continuously until the midnight. Ox mixing ratios shows that  
321  $b_{\text{abs}365, \text{methanol}}$  exhibited similar diurnal patterns during winter and summer (*Tang et al*  
322 *2012, Feng et al 2016*).

323 It is likely driven by variations in local anthropogenic activities, photochemical  
324 conditions, and atmospheric boundary layer heights. Ox mixing ratios shows that  
325  $b_{\text{abs}365, \text{methanol}}$  exhibited similar diurnal patterns during our winter and summer  
326 sampling period. Diurnal patterns of  $b_{\text{abs-BC}, 880 \text{ nm}}$  in haze and non haze days during the  
327 summer sampling period were also displayed in Fig.2b. BC light absorption showed  
328 two evident peaks at around 7:00-9:00 LST and 20:00-2:00 LST (the next day) in both  
329 haze and non haze days, tracking with the morning rush hours due to the enhanced  
330 vehicular emission rates during the traffic rush hours and shallow mixing layer  
331 heights. In both haze and non haze days, the morning peaks of BC were consistently  
332 higher than the evening peaks.

333 Summer levels of  $PM_{2.5}$  chemical species showed no evident difference between  
334 haze and non haze, but they were twice lower than that in winter, which mainly  
335 because of extra biomass burning for winter heating (*Lei et al., 2018a*). EC, which  
336 was mainly from primary emission sources, was in the same level between haze and  
337 non haze periods. It was noted that haze SOC and  $b_{\text{abs}365, \text{methanol}}$  were a little higher  
338 than non haze, which indicated that SOC-BrC was abundance in haze than in non  
339 haze period. In addition, haze  $MAC_{365, \text{methanol}}$  was about 1.4 time than non haze, which  
340 inferred that relatively photochemical reactions can lead to high light absorption as a  
341 result of formation of chromophores by atmospheric aging. However, the effects of  
342 atmospheric aging are complex and can either enhance or reduce light absorption by

343 BrC. Variations of molecular composition and their optical properties of BrC should  
344 be conducted among the different SOC formations in the future.

345

#### 346 *3.4 Source apportionment of brown carbon*

347 In this study, a PMF model was first conducted to apportion PM<sub>2.5</sub> sources, then  
348 a multivariate linear regression model was used to investigate the contribution of each  
349 source to  $b_{abs365, methanol}$  in both winter and summer sampling period. Table S1 shows  
350 regression diagnosis for each individual species and total PM<sub>2.5</sub> mass obtained by the  
351 PMF model.

352 Figure S2 shows source profiles of each source to PM<sub>2.5</sub> in winter. Factor 1 was  
353 characterized by Ca<sup>2+</sup>, NO<sub>3</sub><sup>-</sup>, EC1, and EC2, which were characteristic of traffic-  
354 related emissions (Shakeri et al., 2016). Factor 2 loaded with sulfate, OC and EC,  
355 representing emissions from coal combustion (Huang et al., 2013; Shakeri et al.,  
356 2016). Biomass burning emissions were identified as Factor 3 was abundance of K<sup>+</sup>  
357 (Shen et al., 2010; Shakeri et al., 2016). Factor 4 loaded with NH<sub>4</sub><sup>+</sup>, NO<sub>3</sub><sup>-</sup>, and SO<sub>4</sub><sup>2-</sup>,  
358 indicating a secondary formation source (Shen et al., 2010). The contributions of the  
359 sources resulting from the PMF analyses were calculated by multiple regression of the  
360 G matrix (Paatero, 1994) against the measured mass concentrations. Secondary  
361 formation source contributed significantly to the detected PM<sub>2.5</sub> mass (37.7 %),  
362 followed by traffic-related emissions (24.8 %), biomass burning (19.4 %), coal  
363 combustion (18.1 %) (Figure S3).

364

365 *Insert Figure S1*

366

367 *Insert Figure S2*

367

368 However, during summer traffic-related emissions contributed significantly to the  
369 PM<sub>2.5</sub> mass (36.1 %), followed by fugitive dust (27.3 %), sulfate and SOA (17.5 %),  
370 nitrate and SOA (12.0 %) and industrial coal combustion (7.2 %) (Figure S5). The  
371 source profiles of five sources to PM<sub>2.5</sub> in summer were showed in Figure S4. Factor 1  
372 was dominated by SO<sub>4</sub><sup>2-</sup> and NH<sub>4</sub><sup>+</sup>, which were characteristic of sulfate and its

373 secondary formation (*Li et al., 2013*). Factor 2 loaded with  $\text{Mg}^{2+}$ ,  $\text{Ca}^{2+}$  and  $\text{K}^+$ ,  
374 representing emissions from fugitive dust (*Shen et al., 2008; Zhang et al., 2014*).  
375 Factor 3 was characterized by  $\text{Ca}^{2+}$ ,  $\text{NO}_3^-$ , EC1, and EC2, which was thought to be  
376 associated with traffic-related emissions (*Shakeri et al., 2016*). Factor 4 had high  
377 loadings on  $\text{SO}_4^{2-}$ , OC and EC, which gave preliminary indications of emissions from  
378 industrial coal combustion. Nitrate and its secondary formation were identified as  
379 Factor 5 was abundance of  $\text{NH}_4^+$  and  $\text{NO}_3^-$  (*Shen et al., 2010; Zhou et al., 2017*).

380

381 *Insert Figure S3*382 *Insert Figure S4*

383

384 Figure 3 shows the source contributions to  $b_{abs365, \text{methanol}}$  obtained using a  
385 multivariate linear regression model during winter (a) and summer (b). Because dust  
386 was not present in the solvent extract, fugitive dust could not be investigated in the  
387 apportioning of  $b_{abs365, \text{methanol}}$ . Therefore, almost 71.6 % (winter) and 82.7 % (summer)  
388 of  $b_{abs365, \text{methanol}}$  were apportioned in this study. The primary emissions during winter  
389 including biomass burning, coal combustion, and traffic emissions were  $23.1 \pm 20.8$   
390  $\text{Mm}^{-1}$ ,  $14.7 \pm 12.2 \text{Mm}^{-1}$  and  $10.4 \pm 6.8 \text{Mm}^{-1}$ , respectively. The primary emissions  
391 related above accounted for more than 40 % of the total  $b_{abs365, \text{methanol}}$ . The averaged  
392  $b_{abs365, \text{methanol}}$  of secondary formation during winter was  $25.6 \pm 12.1 \text{Mm}^{-1}$ , accounting  
393 for 28.0 % of total  $b_{abs365, \text{methanol}}$ . While for summer, about 74.5 % of the total  $b_{abs365,}$   
394  $\text{methanol}$  was associated with secondary formation including sulfate (42.4 %) and nitrate  
395 (32.1 %), which was almost three times higher than that for winter. It was inferred that  
396 primary emission was an important contributor to BrC emissions during winter while  
397 secondary formation played an important role during summer.

398

399 *Insert Figure 3*

400

401 4. Conclusions

402 In this study, highly time-resolved BrC in PM<sub>2.5</sub> was conducted in Xi'an, a typical  
403 city in northwestern China. We discussed the seasonal, diurnal profiles of BrC during  
404 haze and non haze days.

405 Winter average  $b_{abs365, methanol}$  is over 7 times than that in summer during the  
406 sampling period. Winter  $MAC_{365, methanol}$  for BrC was nearly 1.5 times of that in  
407 summer. During winter sampling period,  $b_{abs365, methanol}$  diurnal variation presented  
408 high night time levels and low morning levels in haze days while in non haze days,  
409 the pattern showed higher in afternoon levels and low in night time levels. Unlike the  
410 diurnal patterns in winter sampling period,  $b_{abs365, methanol}$  diurnal variation presented  
411 high midday and low afternoon levels in haze days. However, in non haze days, the  
412 pattern showed higher in morning levels and low in night time levels. Winter SOC-  
413 BrC may exist due to photobleaching reaction, while summer photochemical reactions  
414 may form chromophores which led to high light absorption. Source apportionment  
415 based on PMF showed that during winter, secondary formation source contributed  
416 significantly to PM<sub>2.5</sub> mass, followed by traffic-related emissions (24.8 %), biomass  
417 burning (19.4 %), and coal combustion (18.1 %) while during summer traffic-related  
418 emissions contributed significantly to PM<sub>2.5</sub>, followed by fugitive dust (27.3 %),  
419 sulfate and its secondary formation (17.5 %), nitrate and its secondary formation  
420 (12.0 %) and industrial coal combustion (7.2 %). The results from the multiple linear  
421 regression  $b_{abs365, methanol}$  source contribution highlighted that primary emission and  
422 secondary formation were important contributors to BrC emissions in winter and  
423 summer, respectively. Molecular composition and optical properties in summer and  
424 winter should be conducted in the future.

425

426

## 427 Acknowledgements

428 This research is financially supported by the Natural Science Foundation of China  
429 (grants 41877383, 41573101), Natural Science Basic Research Plan in Shaanxi  
430 Province of China (Grant No 2016JQ4019), and a grant from SKLLQG, Chinese  
431 Academy of Sciences (SKLLQG1616).

432

433

434 **References**

435 Cao, J.J., Wu, F., Chow, J.C., Lee, S.C., 2005. Characterization and Source Apportionment of  
436 Atmospheric Organic and Elemental Carbon during Fall and Winter of 2003 in Xi'an, China.  
437 *Atmos. Chem. Phys.* 5(11), 3127-3137.

438 Chow, J.C., Watson, J.G., Chen, L.W.A., Arnott, W.P., Moosmüller, H., 2004. Equivalence of  
439 elemental carbon by thermal/optical reflectance and transmittance with different temperature  
440 protocols. *Environ. Sci. Technol.* 38, 4414-4422.

441 Collaud Coen, M., Weingartner, E., Apituley, A., Ceburnis, D., Fierz-Schmidhauser, R., Flentje, H.,  
442 Henzing, J., Jennings, S.G., Moerman, M. and Petzold, A. (2010). Minimizing Light Absorption  
443 Measurement Artifacts of the Aethalometer: Evaluation of Five Correction Algorithms. *Atmos.*  
444 *Meas. Tech.* 3: 457-474.

445 Dalirian, M., Ylisirniö, A., Buchholz, A., Schlesinger, D., Ström, J., Virtanen, A. and Riipinen, I.  
446 (2017). Cloud Droplet Activation of Black Carbon Particles Coated with Organic Compounds  
447 of Varying Solubility. *Atmos. Chem. Phys. Discuss.* <https://doi.org/10.5194/acp-2017-1084>.

448 Feng, T., Bei, N.F., Huang, R.J., Cao, J.J., Zhang, Q., Zhou, W.J., Tie, X.X., Liu, S.S., Zhang, T.,  
449 Su, X.L., Lei, W.F., Molina, L.T., Li, G.H., 2016. Summertime ozone formation in Xi'an and  
450 surrounding areas, China. *Atmos. Chem. Phys.* 16, 4323-42.

451 Folkers, M., Mentel, F., Wahner, A., 2003. Influence of an organic coating on the reactivity of  
452 aqueous aerosols probed by the heterogeneous hydrolysis of N<sub>2</sub>O<sub>5</sub>. *Geophys. Res. Lett.*  
453 doi:10.1029/2003GL017168.

454 Gouw, J.D., Welsh-Bon, D., Warneke, C., Kuster, W., Alexander, L., Baker, A.K., Beyersdorf, A.J.,  
455 Blake, D., Canagaratna, M., Celada, A., 2009. Emission and chemistry of organic carbon in the  
456 gas and aerosol phase at a sub-urban site near Mexico City in March 2006 during the  
457 MILAGRO study. *Atmos. Chem. Phys.* 9, 3425-3442.

458 Hecobian, A., Zhang, X., Zheng, M., Frank, N., Edgerton, E.S., Weber, R.J., 2010. Water-Soluble  
459 Organic Aerosol material and the light-absorption characteristics of aqueous extracts measured  
460 over the Southeastern United States. *Atmos. Chem. Phys.* 10, 5965-5977.

- 461 Hoffer, A., Gelencser, a., Guyon, P., Kiss, G.G., 2006. Optical properties of humic-like substances  
462 (HULIS) in biomass-burning aerosols. *Atmos. Chem. Phys.* 5(4), 3563-3570.
- 463 Hu, W., Hu, M., Deng, Z., Xiao, R., Kondo, Y., Takegawa, N., Zhao, Y., Guo, S., Zhang, Y., 2012.  
464 The characteristics and origins of carbonaceous aerosol at a rural site of PRD in summer of  
465 2006. *Atmos. Chem. Phys.* 12, 1811-1822.
- 466 Huang, X.H.H., Bian, Q.J., Louie, P.K.K., Yu, J.Z., 2014. Contributions of vehicular carbonaceous  
467 aerosols to PM<sub>2.5</sub> in a roadside environment in Hong Kong. *Atmos. Chem. Phys.* 14, 9279-  
468 9293.
- 469 Huang, M.Q., Xu, J., Cai, S.Y., Liu, X.Q., Zhao, W.X., Hu, C.J., Gu, X.J., Fang, Li, Zhang, W.J.,  
470 2017. Characterization of brown carbon constituents of benzene secondary organic aerosol aged  
471 with ammonia. *J. Atmos. Chem.* D05203, 1-14.
- 472 Kirchstetter, T.W., Novakor, T., Hobbs, P.V., 2004. Evidence that the spectral dependence of light  
473 absorption by aerosols is affected by organic carbon. *J. Geophys. Res.* 109, D21208,  
474 doi:10.1029/2004JD004999.
- 475 Lambe, A.T., Cappa, C.D., Massoli, P., Onasch, T.B., Forestieri, S.D., Martin, A.T., Cummings,  
476 M.J., Crocodile, D.R., Brune, W.H., Worsnop, D.R., Davidovits, P., 2013. Relationship between  
477 oxidation level and optical properties of secondary organic aerosol. *Environ. Sci. Technol.* 47  
478 (12), 6349-6357.
- 479 Laskin, A., Laskin, J., Nizkorodov, S.A., 2015. Chemistry of Atmospheric brown carbon. *Chem.*  
480 *Rev.* 115(10).
- 481 Lei, Y.L., Shen, Z.X., Zhang, T., Zhang, Q., Wang, Q.Y., Sun, J., Gong, X.S., Cao, J.J., Xu, H.M.,  
482 Liu, S.X., Yang, L., 2018a. Optical source profiles of brown carbon in size-resolved particulate  
483 matter from typical domestic biofuel burning over Guanzhong Plain, China. *Sci. Total. Environ.*  
484 622-623, 244-251.
- 485 Lei, Y.L., Shen, Z.X., Wang, Q.Y., Zhang, T., Cao, J.J., Sun, J.J., Zhang, Q., Wang, L.Q., Xu,  
486 H.M., Tian, J., Wu, J.M., 2018b. Optical characteristics and source apportionment of brown  
487 carbon in winter PM<sub>2.5</sub> over Yulin in Northern China. *Atmos. Res.* 213, 27-33.
- 488 Li, J.J., Wang, G.H., Cao J.J., Wang, X.M., Zhang, R.J., 2013. Observation of biogenic secondary  
489 organic aerosols in the atmosphere of a mountain site in central China: temperature and relative  
490 humidity effects, *Atmos. Chem. Phys.*, 13(22), 11535-11549.

- 491 Li-Jones, X., Prospero, J.M., 1998. Variations in the size distribution of non-sea-salt sulfate  
492 aerosol in the marine boundary layer at Barbados: impact of African dust. *J. Geophys. Res.* 103,  
493 16073-16084.
- 494 Lin, P., Hu, M., Deng, Z., Slanina, J., Han, S., Kondo, Y., Takegawa, N., Miyazaki, Y., Zhao, Y.,  
495 Sugimoto, N., 2009. Seasonal and diurnal variations of organic carbon in PM<sub>2.5</sub> in Beijing and  
496 the estimation of secondary organic carbon. *J. Geophys. Res. Atmos.* 114, D00G11.
- 497 Liu, J., Bergin, M., Guo, H., King, L., Kotra, N., Edgerton, E., Weber, R.J., 2013. Size resolved  
498 measurements of brown carbon in water and methanol extracts and estimates of their  
499 contribution to ambient fine-particle light absorption. *Atmos. Chem. Phys.* 13 (24), 12389-  
500 12404.
- 501 Liu, P.F., Abdelmalki, N., Hung, H.-M., Wang, Y., Brune, W.H., Martin, S.T., 2015. Ultraviolet and  
502 visible complex refractive indices of secondary organic material produced by photooxidation of  
503 the aromatic compounds toluene and m-xylene. *Atmos. Chem. Phys.* 14(14), 20585-20615.
- 504 Olson, M.R., Victoria Garcia, M., Robinson, M.A., Van Rooy, P., Dietenberger, M.A., Bergin, M.  
505 and Schauer, J.J. (2015). Investigation of Black and Brown Carbon Multiple - Wavelength -  
506 Dependent Light Absorption from Biomass and Fossil Fuel Combustion Source Emissions. *J.*  
507 *Geophys. Res. Atmospheres* 120, 6682-6697.
- 508 Paatero, P., and Tapper, U., 1994. Positive matrix factorization: A non-negative factor model with  
509 optimal utilization of error estimates of data values. *Environmetrics.* 5(2), 111-126.
- 510 Park, S.S., Yu, G.H., Lee, S., 2018. Optical absorption characteristics of brown carbon aerosols  
511 during the KORUS-AQ campaign at an urban site. *Atmos. Res.* 203, 16-27.
- 512 Qin, Y.M., Tan, H.B., Li, Y.J., Li, Z.J., Schurman, M.I., Liu, L., Wu, C., Chan, C.K., 2018.  
513 Chemical characteristics of brown carbon in atmospheric particles at a suburban site near  
514 Guangzhou, China. *Atmos. Chem. Phys.* 18, 16409-16418.
- 515 Rajput, P., Gupta, T., Kumar, A., 2016. The diurnal variability of sulfate and nitrate aerosols  
516 during winter time in the Indo-Gangetic Plain: implications for heterogeneous phase chemistry.  
517 *RSC Adv.* 6, 89879-89887.
- 518 Saffari, A., Hasheminassab, S., Shafer, M., Schauer, J.J., Chatila, T.A., Sioutas, C., 2016.  
519 Nighttime aqueous-phase secondary organic aerosols in Los Angeles and its implication for  
520 fine particulate matter composition and oxidative potential. *Atmos. Environ.* 133, 112-122.

- 521 Saleh, R., Hennigan, C.J., McMeeking, G.R., Chuang, W.K., Robinson, E.S., Coe, H., Donahue,  
522 N.M., Robinson, A.L., 2013. Absorptivity of brown carbon in fresh and photo-chemically aged  
523 biomass-burning emissions. *Atmos. Chem. Phys.* 13, 7683-7693.
- 524 Seinfeld, J.H., 1986. *Atmospheric Chemistry and Physics of Air Pollution*. Wiley, New York.
- 525 Shen, Z.X., Arimoto, R., Cao, J.J., Zhang, R.J., Li, X.X., Du, N., Okuda, T., Nakao, S., Tanaka, S.,  
526 2008. Seasonal variations and evidence for the effectiveness of pollution controls on water-  
527 soluble inorganic species in total suspended particulates and fine particulate matter from Xi'an,  
528 China. *J. Air Waste Manag. Assoc.* 58, 1560-1570.
- 529 Shen, Z.X., Cao, J.J., Arimoto, R., Han, Y.M., Zhu, C.S., Tian, J., Liu, S.X., 2010. Chemical  
530 characteristics of fine particle (PM<sub>1</sub>) from Xi'an, China. *Aerosol Sci. & Technol.* 44(6), 461-472.
- 531 Shen, Z.X., Zhang, Q., Cao, J.J., Zhang, L.M., Lei, Y.L., Huang, Y., Huang, R.J., Gao, J.J., Zhao,  
532 Z.Z., Zhu, C.S., Yin, X.L., Zheng, C.L., Xu, H.M., Liu, S.X., 2017a. Optical properties and  
533 possible sources of brown carbon in PM<sub>2.5</sub> over Xi'an, China. *Atmos. Environ.* 150, 322-330.
- 534 Shen, Z.X., Lei, Y.L., Zhang, L.M., Zhang, Q., Zeng, Y.L., Tao, J., Zhu, C.S., Cao, J.J., Xu, H.M.,  
535 Liu, S.X., 2017b. Methanol extracted Brown Carbon in PM<sub>2.5</sub> over Xi'an, China: Seasonal  
536 variation of optical properties and sources identification. *Aerosol. Sci. Engineering* 1-9.
- 537 Shakeri, A., Madadi, M., Mehrabi, B., 2016. Health risk assessment and source apportionment of  
538 PAHs in industrial and bitumen contaminated soils of Kermanshah province; NW Iran. *Toxicol.*  
539 *& Environ. Health. Sci.* 8(3), 201-212.
- 540 Srinivas, B., Sarin, M.M., 2014. Brown carbon in atmospheric outflow from the Indo-Gangetic  
541 Plain: Mass absorption efficiency and temporal variability. *J. Atmos. Environ.* 89, 835-843.
- 542 Tang, G., Wang, Y., Li, X., Ji, D., Hsu, S. and Gao, X., 2012. Spatial temporal variations in surface  
543 ozone in Northern China as observed during 2009–2010 and possible implications for future air  
544 quality control strategies *Atmos. Chem. Phys.* 12, 2757-76.
- 545 Tao, J., Zhang, L.M., Cao, J.J., Zhang, R.J., 2017. A review of current knowledge concerning  
546 PM<sub>2.5</sub> chemical composition, aerosol optical properties, and their relationships across China.  
547 *Atmos. Chem. Phys.* 1-70.
- 548 Virkkula, A., Makela, T., Hillamo, R., Yli-Tuomi, T., Hirsikko, A., Hameri, K., Koponen, I.K.,  
549 2007. A simple procedure for correcting loading effects of aethalometer data. *J. Air Waste*  
550 *Manage.* 57(10), 1214-1222.

- 551 Wang, G.H., Kawamura, K., Zhao, X., Li, Q.G., Dai, Z.X., Niu, H.Y., 2007. Identification,  
552 abundance seasonal variation of anthropogenic organic aerosols from a mega-city in China.  
553 *Atmos. Environ.* 41, 407-416.
- 554 Wang, J., Nie, W., Cheng, Y., Shen, Y., Chi, X., Wang, J., Huang, X., Xie, Y., Sun, P., Xu, Z., Qi,  
555 X., Su, H. and Ding, A., 2018. Light absorption of brown carbon in eastern China based on 3-  
556 year multi-wavelength aerosol optical property observations and an improved absorption  
557 Ångström exponent segregation method, *Atmos. Chem. Phys.* 18(12), 9061-9074.
- 558 Wang, Q.Y., Huang, R.J., Zhao, Z.Z., Cao, J.J., Ni, H.Y., Tie, X.X., Zhao, S.Y., Su, X.L., Han,  
559 Y.M., Shen, Z.X., Wang, Y.C., Zhang, N.N., Zhou, Y.Q., Corbin, J.C., 2016a. Physicochemical  
560 characteristics of black carbon aerosol and its radiative impact in a polluted urban area of China.  
561 *J. Geophys. Res. Atmos.* 121(20), 12505-12519.
- 562 Wang, J.F., Ge, X.L., Chen, Y.F., Shen, Y.F., Zhang, Q., Sun, Y.L., Xu, J.Z., Ge, S., Yu, H., Chen,  
563 M.D., 2016b. Highly time-resolved urban aerosol characteristics during springtime in Yangtze  
564 River Delta, China: insights from soot particle aerosol mass spectrometry. *Atmos. Chem. Phys.*  
565 16, 9109-9127.
- 566 Wang, J.F., Onasch, T.B., Ge, X.L., Collier, S., Zhang, Q., Sun, Y.L., Yu, H., Chen, M.D., Prevot,  
567 A.S.H., Worsnop, D.R., 2016c. Observation of fullerene soot in eastern China. *Environ. Sci.*  
568 *Technol. Lett.* 3, 121-126.
- 569 Wang, Q.Y., Huang, R.J., Zhao, Z.Z., Cao, J.J., Ni, H.Y., Tie, X.X., Zhu, C.S., Shen, Z.X., Wang,  
570 M., Dai, W.T., Han, Y.M., Zhang N.N., Prevot, A.S.H., 2017. Effects of photochemical  
571 oxidation on the mixing state and light absorption of black carbon in the urban atmosphere of  
572 China. *Environ. Res. Lett.* 12, 044012.
- 573 Xiao, S., Wang, Q.Y., Cao, J.J., Huang, R.J., Chen, W.D., Han, Y.M., Xu, H.M., Liu, S.X., Zhou,  
574 Y.Q., Wang, P., Zhang, J.Q., Zhan, C.L., 2014. Long-term trends in visibility and impacts of  
575 aerosol composition on visibility impairment in Baoji, China. *Atmos. Res.* 149, 88-95.
- 576 Xu, J., Zhang, Q., Chen, M., Ge, X., Ren, J., Qin, D., 2014. Chemical composition, sources,  
577 processes of urban aerosols during summertime in northwest China, insights from high  
578 resolution aerosol mass spectrometry. *Atmos. Chem. Phys.* 14, 12593–12611.
- 579 Xu, J., Wang, Q.Z., Deng, C.R., McNeill, V.F., Fankhauser, A., Wang, F.W., Zheng, X.J., Shen,  
580 J.D., Huang, K., Zhuang, G.S., 2018. Insights into the characteristic and sources of primary and

- 581 secondary organic carbon: High time resolution observation in urban Shanghai. *Environ. Pollut.*  
582 233, 1177-1187.
- 583 Yan, C.Q., Zheng, M., Sullivan, A.P., Bosch, C., Desyaterik, Y., Andersson, A., Li, X.Y., Guo, X.S.,  
584 Zhou, T., Gustafsson, O., Collett Jr, J.L., 2015. Chemical characteristics and light-absorbing  
585 property of water-soluble organic carbon in Beijing: Biomass burning contributions. *Atmos.*  
586 *Environ.* 121, 4-12.
- 587 Zhang, Q., Shen, Z.X., Cao, J.J., Ho, K.F., Zhang, R.J., Bie, Z.J., Chang, H.R., Liu, S.X., 2014.  
588 Chemical profiles of urban fugitive dust over Xi'an in the south margin of the Loess Plateau,  
589 China. *Atmos. Pollut. Res.* 5(3), 421-430.
- 590 Zhang, Q., Shen, Z.X., Cao, J.J., Zhang R.J., Zhang, L.M., Huang, R.J., Zheng, C.J., Wang, L.Q.,  
591 Liu, S.X., Xu, H.M., Zheng, C.L., Liu, P.P., 2015a. Variations in PM<sub>2.5</sub>, TSP, BC, and trace  
592 gases NO<sub>2</sub>, SO<sub>2</sub> and O<sub>3</sub> between haze and non-haze episodes in winter over Xi'an, China.  
593 *Atmos. Environ.* 112, 64-71.
- 594 Zhang, X.L., Lin, Y.H., Surratt, J.D., Weber, R.J., 2013. Sources, composition and absorption  
595 Ångström exponent of light-absorbing organic components in aerosol extracts from the Los  
596 Angeles Basin. *Environ. Sci. Technol.* 47, 3685-3693.
- 597 Zhang, X.L., Mao, M., 2015b. Brown haze types due to aerosol pollution at Hefei in the summer  
598 and fall. *Chemosphere.* 119, 1153-1162.
- 599 Zhao, R., Lee, A.K.Y., Huang, L., Li, X., Yang, F., Abbatt, J.P.D., 2015. Photochemical processing  
600 of aqueous atmospheric brown carbon. *Atmos. Chem. Phys.* 15 (2), 2957–2996.
- 601 Zhou, R., Wang, S., Shi, C., Wang, W., Zhao, H., Liu, R., Chen, L., Zhou, B., 2014. Study on the  
602 traffic air pollution inside and outside a road tunnel in Shanghai, China. *PLoS One* 9, e112195.
- 603 Zhou, Y.Q., Wang, Q.Y., Huang, R.J., Liu, S.X., Tie, X.X., Su, X.L., Niu, X.Y., Zhao, Z.Z., Ni,  
604 H.Y., Wang, M., Zhang, Y.G., Cao, J.J., 2017. Optical properties of aerosols and implications  
605 for radiative effects in Beijing during the Asia-Pacific Economic cooperation summit 2014. *J.*  
606 *Geophys. Res. Atmos.* 2017, 122(18).
- 607 Zhong, M., Jang, M., 2014. Dynamic light absorption of biomass-burning organic carbon photo  
608 chemically aged under natural sunlight. *Atmos. Chem. Phys.* 14, 1517-1525.
- 609  
610

611

612

613

614

615

616

617

618

619

620

621

622

623

624

625

626 Figure Captions

627 Figure1 Location of the sampling site.

628 Figure 2 Diurnal variations of mean  $b_{\text{abs}365,\text{methanol}}$ ,  $b_{\text{abs},\text{BC-880nm}}$ ,  $\text{MAC}_{365,\text{methanol}}$ , OC, Ox, and SOC

629 for  $\text{PM}_{2.5}$  between haze and non haze days during (a) winter and (b) summer

630 Figure 3 the source contribution to  $b_{\text{abs}365,\text{methanol}}$  using multivariate linear regression model

631 during (a) winter and (b) summer

632

633

634

635

636

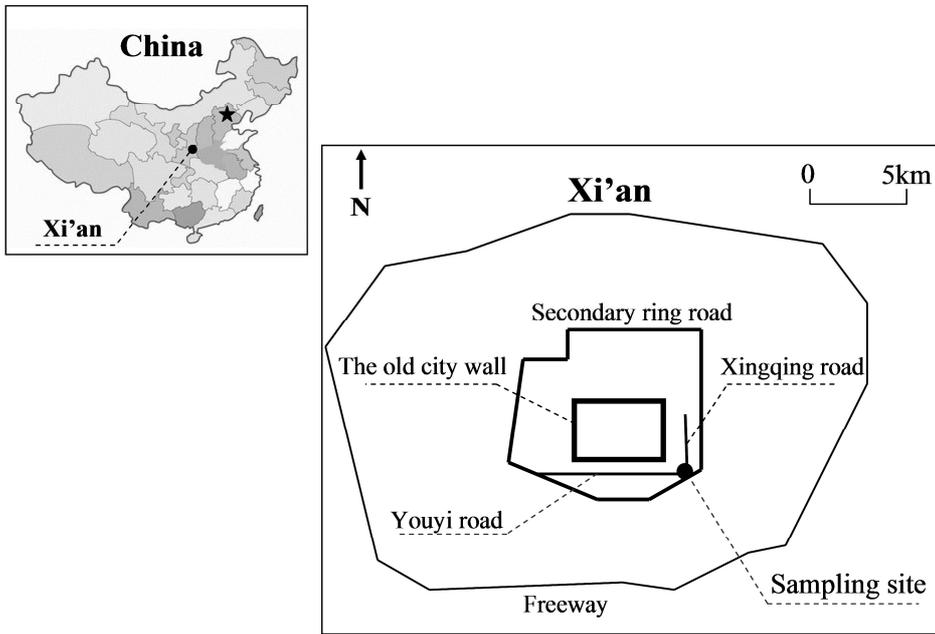
637

638

639

640

641

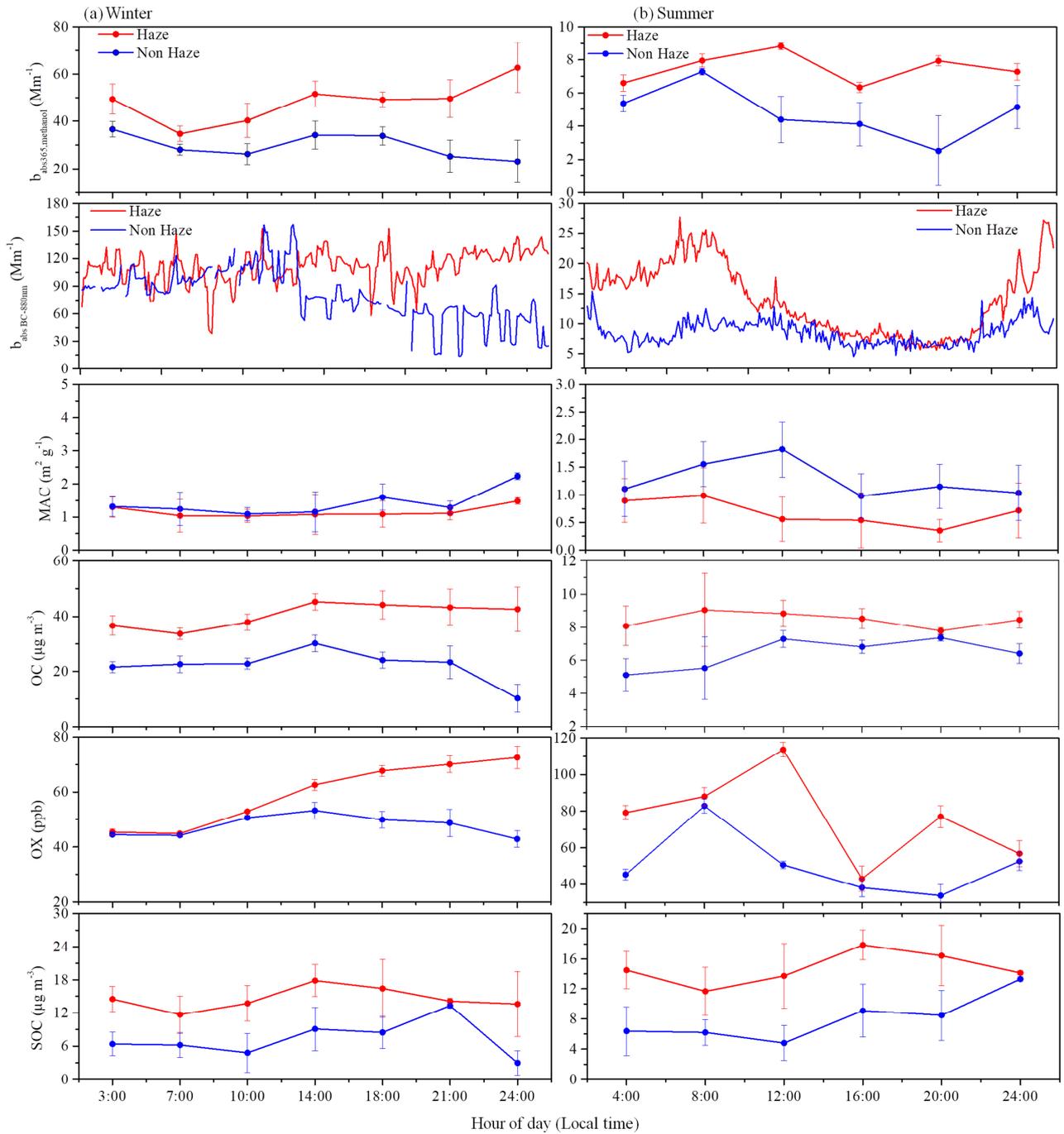


642

643

644

Figure1 Location of the sampling site.



645

646

Figure 2 Diurnal variations of mean  $b_{\text{abs}365, \text{methanol}}$ ,  $b_{\text{abs}, \text{BC-880nm}}$ ,  $\text{MAC}_{365, \text{methanol}}$ ,  $\text{OC}$ ,  $\text{Ox}$ , and

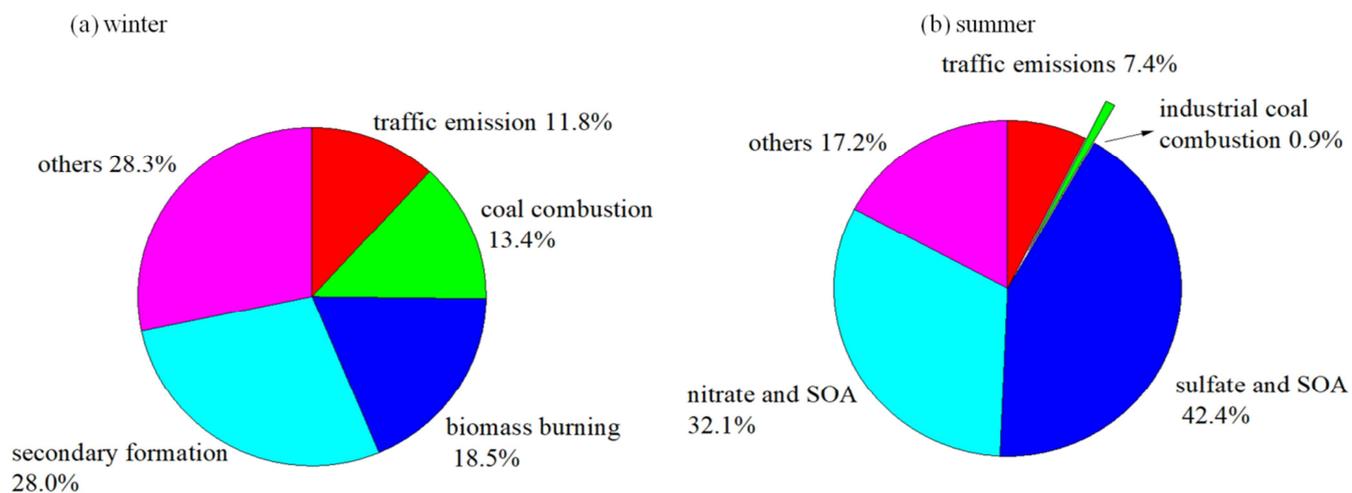
647

$\text{SOC}$  for  $\text{PM}_{2.5}$  between haze and non haze days during (a) winter and (b) summer

648

649

650



651

652 Figure 3 The source contribution to  $b_{\text{abs}365, \text{methanol}}$  using multivariate linear regression

653

model during (a) winter and (b) summer

654

655

656

657

658

659

660 Table 1 The average concentrations of major components and light absorption in PM<sub>2.5</sub> during the  
 661 sampling period  
 662

Sampling period			PM <sub>2.5</sub>	OC	SOC	EC	OC/EC	b <sub>abs365,methanol</sub>	b <sub>absBC-880nm</sub>	MAC <sub>365,methanol</sub>	AAE
			μg m <sup>-3</sup>				Mm <sup>-1</sup>		m <sup>2</sup> g <sup>-1</sup>		330-400nm
winter	Total	mean	179.4	34.4	11.8	7.6	4.4	42.0	101.9	1.2	6.2
		SD	70.4	13.3	5.5	2.7	0.4	18.1	27.9	0.2	0.5
	Haze	mean	210.3	40.5	14.4	8.7	4.6	48.2	111.8	1.2	6.4
		SD	66.3	12.0	4.7	2.5	0.2	19.6	25.6	0.1	1.0
	Non-haze	mean	117.7	22.1	6.4	5.2	4.1	29.6	82.0	1.3	6.1
		SD	11.6	0.5	1.4	0.7	0.5	5.2	27.2	0.2	0.3
summer	Total	mean	69.7	7.7	4.0	5.6	1.6	5.9	11.8	0.9	6.5
		SD	18.8	1.8	1.8	1.5	0.6	2.8	3.6	0.6	2.6
	Haze	mean	74.8	8.0	4.4	5.6	1.6	6.8	11.9	1.0	6.5
		SD	17.0	2.0	2.3	1.8	0.6	2.9	4.7	0.7	2.3
	Non-haze	mean	65.3	7.4	3.7	5.6	1.5	4.9	11.6	0.7	6.5
		SD	20.5	1.6	1.4	1.1	0.6	2.6	2.3	0.4	3.1

663

664

665

666

667

668

669

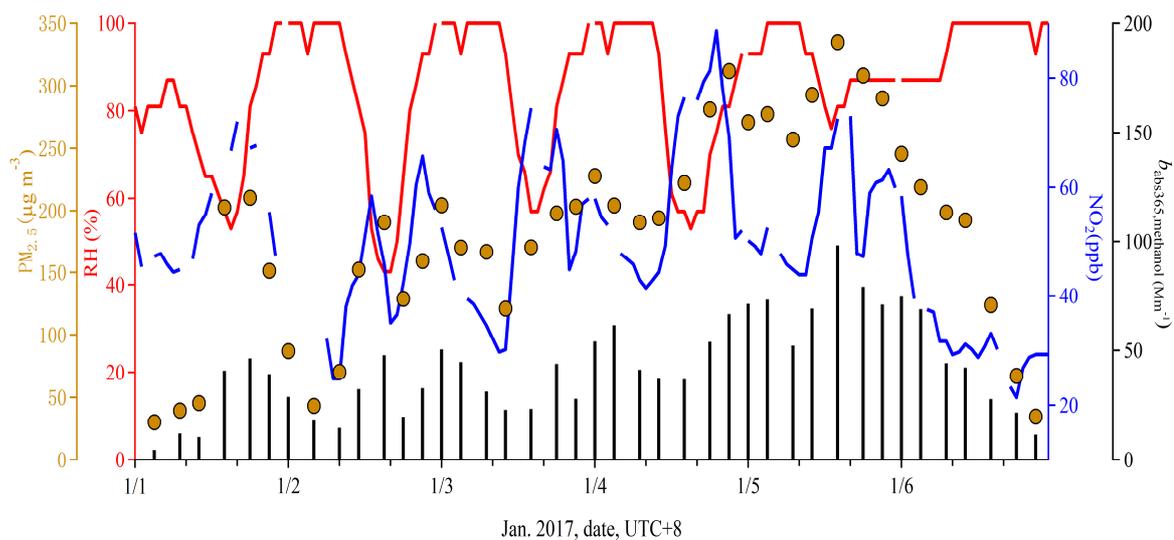


Figure 1S Daily variations of  $PM_{2.5}$ , RH,  $NO_2$ , and  $b_{abs365, methanol}$  during the winter sampling period.

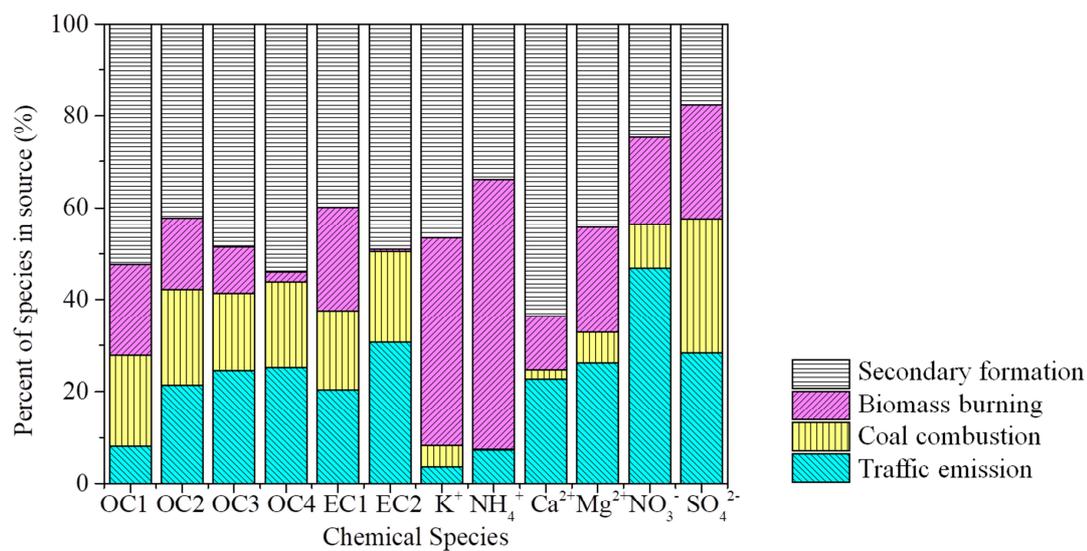


Figure S2 Source profiles for the four sources during winter identified by the positive matrix factorization (PMF) mode.

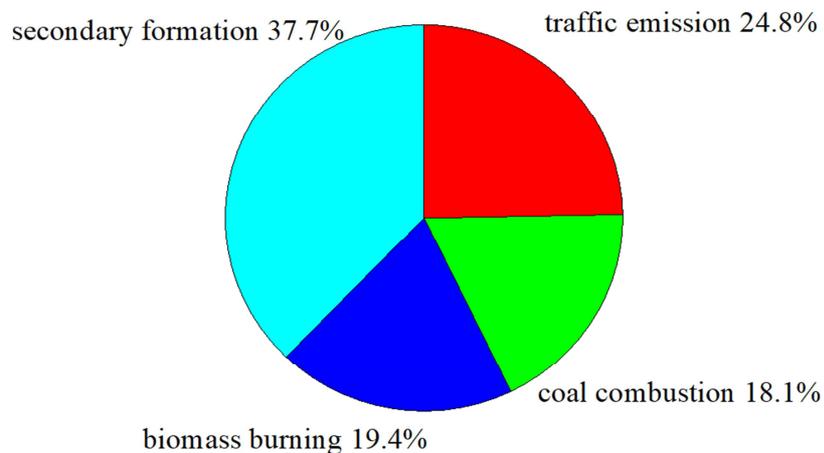


Figure S3 Average source contribution to aerosol mass concentration during winter that is estimated by PMF source factor (see Fig.S1)

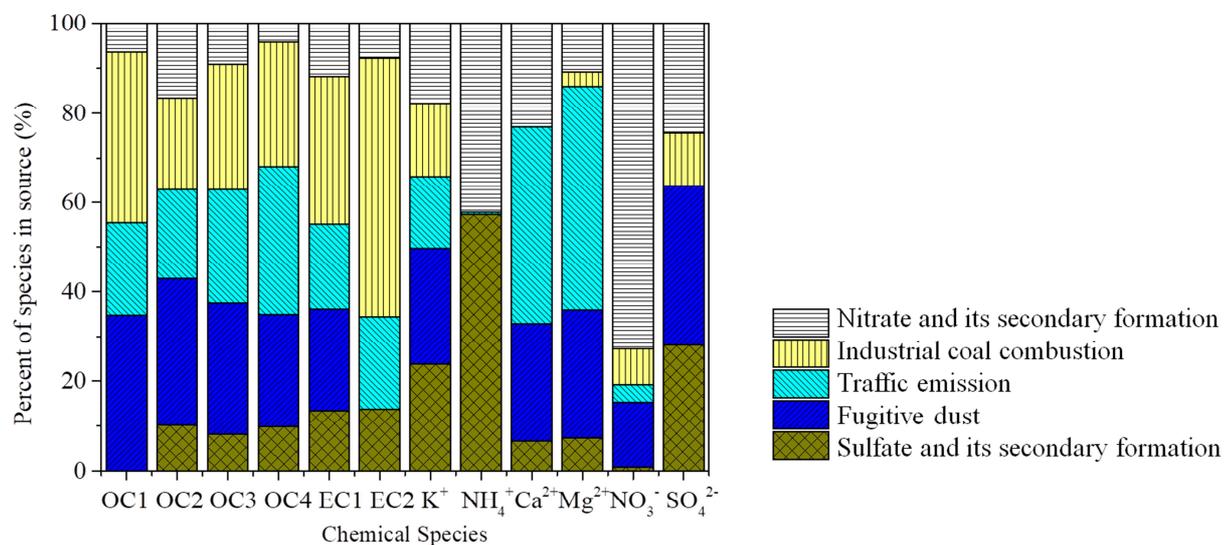


Figure S4 Source profiles for the five sources during summer identified by the positive matrix factorization (PMF) mode.

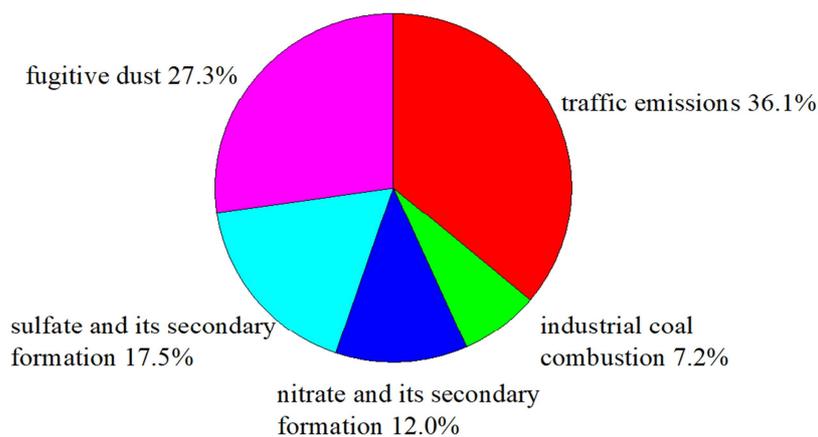


Figure S5 Average source contribution to aerosol mass concentration during summer that is estimated by PMF source factor (see Fig.S2)

Table S1 Regression diagnosis for each individual species and total mass  $PM_{2.5}$  obtained by the PMF model ( $R^2$ = coefficient of determination).

Species	Intercept		Slope		$R^2$	
	winter	summer	winter	summer	winter	summer
$PM_{2.5}$ mass	22.36	26.33	0.89	0.64	0.96	0.71
OC1	0.62	0.59	0.58	0.25	0.63	0.87
OC2	0.43	0.22	0.93	0.87	0.95	0.75
OC3	3.48	0.15	0.6	0.92	0.77	0.86
OC4	3.89	0.31	0.25	0.88	0.79	0.82
EC1	5.98	1.29	0.73	0.63	0.87	0.7
EC2	0.03	0.07	0.91	0.88	0.77	0.96
$K^+$	0.2	0.41	0.91	0.51	0.91	0.81
$NH_4^+$	0.01	0.05	0.33	0.45	0.87	0.91
$Mg^{2+}$	0.11	0.11	0.75	0.75	0.74	0.74
$Ca^{2+}$	0.72	0.16	0.35	0.97	0.85	0.99
$NO_3^-$	0.34	0.03	0.67	0.54	0.88	0.92
$SO_4^{2-}$	0.56	0.8	0.77	0.65	0.93	0.86

## Highlights

High time-resolved BrC observation during winter and summer was conducted.

Atmospheric aging can either enhance or reduce light absorption by BrC.

Primary emission and secondary formation were important contributors to BrC during winter and summer, respectively.

Journal Pre-proof